Sheet	1	of	1	

Substitute	Form	PTO-1449
(Modified)		

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. Application No. 13062-002001 Applicant

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Filing Date Group Art Unit Herewith

Chung-Hsiun Wu et al.

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
100	AA	5,541,087	07/30/1996	Lo et al.	435	697	
	AB	5,703,055	12/30/1997	Felgner et al.	514	44	
	AC	5,721,121	02/24/1998	Etcheverry et al.	435	69.7	
N N	AD	5,726,044	03/10/1998	Lo et al.	435	69.7	
4	AE	6,214,804 B1	04/10/2001	Felgner et al.	514	44	

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation No
N	AF	WO 00/29442	05/25/2000	PCT			Ü	

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.	Downsont				
Initial	ID	Document Document				
100	AG	Barry et al., "Production of Monoclonal Antibodies by Genetic Immunization," BIOTECHNIQUES, 16(4):616-619 (1994)				
	AH	Gregoriadis et al., "Liposome-mediated DNA vaccination," FEBS LETTERS, 402:107-110 (1997)				
	AI	He et al., "Intravenous Injection of Naked DNA Encoding Secreted flt3 Ligand Dramatically Increasese the Number of Dendritic Cells and Natural Killer Cells in Vivo, HUMAN GENE THERAPY, 11:547-554 (March 1, 2000)				
	AJ	Liu et al., "Hydrodynamics-based transfection in animals by systemic administration of plasmid DNA," GENE THERAPY, 6:1258-1266 (1999)				
	AK	Swinbanks et al., "Taiwan backs experience in quest for biotech success," NATURE, 407:417-426 (September 21, 2000)				
	AL	Tang et al., "Genetic immunization is a simple method for eliciting an immune response," NATURE, 356:152-154 (March 12, 1992)				
	AM	Templeton et al., "Improved DNA: liposome complexes for increased systemic delivery and gene expression," NATURE BIOTECHNOLOGY, 15:647-652 (July 1997)				
	AN	Zhang et al., "High Levels of Foreign Gene Expression in Hepatocytes after Tail Vein Injections of Naked Plasmid DNA," HUMAN GENE THERAPY, 10:1735-1737 (July 1, 1999)				
	AO	Zhang et al., "Long-term expression of human alphal-antitrypsin gene in mouse liver achieved by intravenous administration of plasmid DNA using a hydrodynamics-based procedure," GENE THERAPY, 7:1344-1349 (2000)				
	AP	Zhou et al., "Protective immunization against melanoma by gp100 DNA-HVJ-liposome vaccine," GENE THERAPY, 6:1768-1773 (1999)				

Examiner Signature	Date Considered U23/04				
EXAMINER: Initials challen considered. Draw line through citation if not in conformance and not considered. Include copy of this form with					
next communication to applicant.					

Substitute Disclosure Form (PTO-1449)

Sheet	1	of	1

101	Sabstitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13062-002001	Application No. 10/077,213
JAH	II M AMM	sclosure Statement Applicant	Applicant Chung-Hsiun Wu et al.	
B. L. W. L.		sheets if necessary)	Filing Date February 14, 2002	Group Art Unit 1632

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,959,171	09/28/1999	Hyttinen et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM		- : -			-		
	AN							
	AO							
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner	Desig.						
Initial	ID	Document					
	AQ						
	AR						
	AS						
	AT						

Examiner Signature M		Date Considered , ,) , /
W \	1 /V	10/22/10
14 1 1	Ι Ιλ	1017.570 - 1
		4100/-
EXAMINER: Initials citation consider	ed. Draw line through citation if no	ot in conformance and not considered. Include copy of this form with
next communication to applicant.		30 July 10 Jul
		Substitute Disclosure Form (PTO-1449)
		===311010 Dibuosaro 1 0111 (1 10 1440)

MM 03 MM

Sheet	1	of	1

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. Application No. 13062-002001 10/077,213

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Chung-Hsiun Wu et al.

Applicant

(Ose several sheets if necessar

Filing Date Group Art Unit February 14, 2002 1632

(37 CFR §1.98(b))

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
N/D	AA	5,843,678	12/01/1998	Boyle			
1	AB	6,001,973	12/14/1999	Strom et al.			

	Foreig	n Patent Doc	uments or P	ublished Foreign P	atent /	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	L ID	Number	Date	Patent Office	Class	Subclass	Yes	No

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	D	Document
	AC	BROWN. S. J. et al. Expression and ligand binding assays of soluble cytokine receptor- immunoglobulin fusion proteins. Prot. Exp. Purif. 1998, Vol. 14, pps. 120-124
	AD	DWYER. M. A. et al. Expression and characterization of a DNase I-Fc fusion enzyme. J. Biol. Chem. April 1999, Vol. 274, No. 14, pps. 9738-9843
	AE	LUNDEBERG, J. et al. Affinity Purification of specific DNA fragments using a lac repressor fusion protein. Genet. Anal. Techn. Appl. 1990, Vol 7, pps. 47-52
	AF	MATSUDA. T. et al. Establishment of the elisa fo murine soluble gp130, a signal transducer for the IL-6 family cytokine, and its detection in the ascitic fluids of tumor-bearing mice. Biochem. Biophys. Res. Comm. 1994, Vol. 202, No. 1, pps. 637-642
	AG	STEWART. L.M. et al. Humanisation and characterization of PR1A3, a monoclonal antibody specific for cell-bound carcinoembryonic antigen. Cancer Immunol., Immunother. 1999, vol 47, pps. 299-306

	A	
Examiner Signature	Jelok	Date Considered (J23/04)
EXAMINER: Initials to	tation considered. Draw line through citation if n	ot in conformance and not considered. Include copy of this form with

Substitute Disclosure Form (PTO-1449)

6	\	3	E	٧.
JUN	1	2	2003	463

Substituty Form PTO-1449 (Modified)

1 2 2003 6						Sh	eet 1 of 1	
Substitute For (Morthed)	m PTO-1449		partment of Commerce and Trademark Office).	Application No 10/077,213	7.7.1) <u>,</u>
	b	Disclosure St		Applicant Chung-Hsiun V	Vu et al.	1	CHOUNT	C/L
(37 CFR §1.98	•	eral sheets if necess	sary)	Filing Date February 14, 20	002	Group Art Unit	CAN BOOK	(S)
			U.S. Paten	t Documents			60/20	
Examiner	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
	AA	6,146,845	Nov. 14, 2000	Kikly et al.				

Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	l ID	Number	Date	Patent Office	Class	Subclass	Yes	No
				, Title, Date, and			~,	
				,,,			<u> </u>	
Examiner	Desig.		,	, , ,			<u> </u>	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)